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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/US)

ATTORNEY'S DOCKET NUMBER DB 0540

	NCERNING A SUBMISSION UNDER 35 U	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) -unassigned- 4 0 / 5 2 2 0 / 5								
	NTIONAL APPLICATION NO. INTERNATIONAL November 03, 200		PRIORITY DATE CLAMBO 3 3 3 8 3 November 04, 2002							
TITLE OF INVENTION Transition Metal Catalysts For (Co)Polymerization of Olefinic Monomers										
APPLICANT(S) FOR DO/EO/US Peter PREISHUBER-PFLUEGL; Jun OKUDA; Valentine REIMER; Marc-Oliver KRISTEN										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. X	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2 🗆	This is a SECOND or SUBSEQUENT submission of items cond	ceming a submission	n under 35 U.S.C. 371.							
3 	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🔀	The US has been elected (Article 31).									
5. X	A copy of the International Application as filed (35 U.S.C. 371	I(c)(2))	•							
	a. X is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by the International Bureau.									
_	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. X	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. X is attached hereto.									
	b. has been previously submitted under 35 U.S.C. 15-	4(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. — have been communicated by the International Bur	eau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and will not be made.									
8. 🔲	An English language translation of the amendments to the d	aims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).							
g. X	An eath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))).								
10.	An English language translation of the annexes of the Interna Article 36 (35 U.S.C. 371(c)(5)).	itional Preliminary E	xamination Report under PCT							
Items 11 to 20 below concern document(s) or information included:										
11.	An Information Disclosure Statement under 37 CFR 1.97 and	1.98.								
12. X	An assignment document for recording. A separate cover she	et in compliance wi	th 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16. X	A power of attorney and/or change of address letter.									
17. 🔲	A computer-readable form of the sequence listing in accordan	nce with PCT Rule 1	3ter.2 and 37 CFR 1.821-1.825.							
18.	A second copy of the published International Application under	er 35 U.S.C. 154(d)(4).							
19.	A second copy of the English language translation of the inter	mational application	under 35 U.S.C. 154(d)(4).							
20. 🛛	Other items or information: International Search Report	(vqo)								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
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U.S. APPLICAT	APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER						
unassignea, U/ 3 3 3 9 4 5 PCT/EP 03/12200			DB 054036						
The following fees have been submitted					CALCULATIONS	PTO USE ONLY			
21. 🔣 Basi	ic national fee	^{\$} 300.00							
If International p PCT Article 3:	nination fee reliminary examin 3(1)-(4)	\$ 200.00							
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) h nail Searching Aut irch Report prepar is	\$ 400.00							
_	TOTAL OF 21, 2	\$ 900.00	<u> </u>						
sequence lis	e for specification sting or computer 250 for each addit								
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE					
48 - 100 =	/50 =			x \$250	\$				
	30.00 for furnishing late (37 CFR 1.49)	\$							
CLAIMS	NUMB	BER FILED	NUMBER EXTRA	RATE	\$				
Total claims	17	- 20 =		x \$ 50	\$				
Independent clair	ms 01	- 3 =		× \$200	\$				
MULTIPLE DEP	ENDENT CLAIM(S	6) (if applicable)		+ \$360	\$				
		\$							
Applicant cla	ims small entity st	tatus. See 37 CFR	1.27. Fees above are reduced	ced by 1⁄2.	·				
				SUBTOTAL =	\$ 900.00				
	f \$130.00 for furni late (37 CFR 1.49	\$							
		\$ 900.00							
	the enclosed ass cover sheet (37)	\$ 40.00							
	· · · · · · · · · · · · · · · · · · ·		TOTAL F	EES ENCLOSED =	\$ 940.00				
			Amount to be						
		refunded:	\$ 0.00						
					Amount to be charged:	\$ 940.00			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14.1437. A duplicate copy of this sheet is enclosed.									
d. Eees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
	RESPONDENCE UStomer Nu	Verjho							
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	•	ON NUMBER							